

10/581089

AP3 Rec'd PCT/PTO 31 MAY 2008

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tetsuro MIZUSHIMA et al.

Application No.: New U.S. National Stage of  
PCT/JP2004/017169

Filed: May 31, 2006

Docket No.: 128197

For: OPTICAL COMPONENT, OPTICAL RECORDING MEDIUM, AND MANUFACTURING  
METHOD THEREFOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

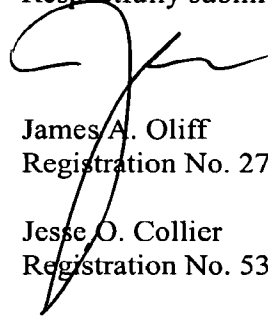
Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of one or more non-English language reference is discussed in the present specification. See References 6-8.
- ☒ 3. One or more reference cited herein was cited in the International Search Report. An English language version of the International Search Report is attached for the Examiner's information. See References 7-15.
- ☒ 4. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- ☒ 5. An English language Abstract of one or more non-English language reference is attached hereto. See References 6-11 & 13-15.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.H./

- ☒ 6. A computer-generated English language translation of one or more Japanese Patent Publication cited herein has been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and is attached, but has not been reviewed for accuracy. See References 6-10 & 13-15.
- ☒ 7. References 1-3 correspond to reference 9. Reference 4 corresponds to reference 7. Reference 5 corresponds to reference 8.

Respectfully submitted,



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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Form PTO-1449 (REV. 1/06)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 128197		APPLICATION NO. New U.S. National Stage of PCT/JP2004/017169	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANTS Tetsuro MIZUSHIMA et al.			
				FILING DATE May 31, 2006			

U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	Document Number	Date	Name
	1	2002/0004172 A1	01/10/2002	MAEDA et al.
	2	6,524,771	02/25/2003	MAEDA et al.
	3	6,479,193	11/12/2002	MAEDA et al.
	4	6,268,089	07/31/2001	CHANDROSS et al.
	5	2002/0110740 A1	08/15/2002	OTAKI et al.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number	Date	Country	With English Abstract	With English Translation
	6	JP A 07-005623	01/10/1995	JAPAN	X	X
	7	JP A 11-344917	12/14/1999	JAPAN	X	X
	8	JP A 2002-236439	08/23/2002	JAPAN	X	X
	9	JP A 06-148880	05/27/1994	JAPAN	X	X
	10	JP A 2000-172154	06/23/2000	JAPAN	X	X
	11	JP A 2-148002	06/06/1990	JAPAN	X	
	12	JP A 56-164301	12/17/1981	JAPAN		
	13	JP A 2001-075465	03/23/2001	JAPAN	X	X
	14	JP A 2002-297004	10/09/2002	JAPAN	X	X
	15	JP A 2004-029476	01/29/2004	JAPAN	X	X

OTHER DOCUMENTS		
Examiner Initials	Cite No.	(Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER /Gerard Higgins/	DATE CONSIDERED 06/13/2008
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Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: May 31, 2006

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.H./